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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/852.155	05/09/2001	Peter T. McCarthy	NATURES.018A	3868
20995	7590 12/10/2004		EXAM	INER
KNOBBE M 2040 MAIN S	MARTENS OLSON &	BEAR LLP	SWINEHAR	T, EDWIN L
FOURTEEN"			ART UNIT	PAPER NUMBER
IRVINE, CA	92614		3617	

DATE MAILED: 12/10/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

Reguested copy attached.

PTO-90C (Rev. 10/03)



FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO. NATURES.018A APPLICATION NO. 09/852,155

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

APPLICANT Peter T. McCarthy

(USE SEVERAL SHEETS IF MECESSARY)

FILING DATE GROUP 3617

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	SATE OF .	HAGENA	U.S. PATENT DOCUMENTS			
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
EC5	5384	11/15/1883	Heathorn .			
Ī	5,384	12/4/1847	R.A. Tilghman		VEV 2.5 200 UP 3)
	144,538	11/11,1873	Harsen	‡CF	INT.	
	169,398	11/2/1875	O.G. Ahlstrom	Toci	25 200	
	234,305	05/28/25	Bovey	100	20	600
	478,092	12/01/37	Valente	20	<u>UP 2</u>	
	783.012	02/21/05	Biedermann et al.	1		
	787,291	unknown	Michiels		(37)	CEIVED
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	2,321,009	9/27/1940	O.P. Churchill			
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	3,019,458	2/6/1962	E. De Barbieri, et. al.			
1/	3,055,025	9/25/1982	L. Ferraro, et. al.			
V	3,082,442	1959	Cousteau, et al.			

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U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTY, DOCKET NO.	
NATURES.018A	

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APPLICANT
Peter T. McCarthy

(USE SEVERAL SHEETS IF NECESSARY) -

FILING DATE May 9, 2001 GROUP 3617

U.S. PATENT DOCUMENTS								
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)		
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1	3,086,492	04/23/63	Holley	-11	ED	·		
	3,112,503	12/3/1963	B.B. Girden Auzols Frederick H. Gwynne Novelli		001			
	3,171,142		Auzols OCT	2 2		1		
	3,239,857	3/15/1966	Frederick H. Gwynne	ME	360	J		
	3,256,540		Novelli GRC	,0.				
	3,411,165	11/19/68	Murdoch	l				
	3,422,470	01/21/69	Mares	1	HECE	IVED		
	3,453,981	07/08/69	Gause	<u> </u>	007			
	3,491,997	01/27/70	Winters	<u> </u>	OCT 1	7 2001		
	3,685,535	5/30/1972	Picken	7	P 3600 M/	II ROOM		
	3,773,011	11/20/73	Gronier			121100111		
	3,922,741	12/02/75	Semela .					
	3,934,290	01/27/78	Le Vasseur					
	4,007,508	2/15/1977	Resmussen					
	4,025,977	5/31/1977	Cronin					
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	4,541,810	09/17/85	Wenzel	L				
1	4,857,515	4/14/1987	Ciccotelli	<u> </u>				
	4,689,029	8/25/1987	Ciccotelli					
	4,738,645	04/19/88	Garofaio					

EXAMINER	ED SWINEHART	DATE CONSIDERED	5	13	03			
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FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO. NATURES.018A

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BY APPLICANT
Peter T. McCarthy

FILING DATE May 9, 2001

(USE SEVERAL SHEETS IF NECESSARY)

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6/4/1996

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224	4,752,259	6/21/1988	Tackett, et. al.			
r	4,773,885	9/27/1988	Ciccotelli			
	4,775,343	10/4/1988	Lamont, et. al.			
	4,781,837	11/01/88	Caires			
	4,820,218	04/11/89	Van de Pol			
	4,857,024	08/15/89	Evans			
	4,869,696	9/26/1989	Ciocotelli		الدي	D
	4,895,537	1/23/1990	Ciccotelli	m=(ELA	3600
	4,929,206	5/29/1990	Evans	71	CT 25	-00
	4,934,971	06/19/90	Picken		- 110	3600
1	4,940,437	07/10/90	Piatt	G	AOO	
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1	5,358,323	10/25/94	Ripley			
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1	5,387,145	2/7/1995	Wagner			
\top	5,417,599	05/23/95	Evans			
	5,429,538	7/4/1995	Evans			
	5,435,764	7/25/1995	Testa, et. al.			
	5,443,593	8/22/1995	Garofalo			×
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*EXAMINER:	INITIAL IF CITATION CONSIDERED. WHETHER OR NOT CITATION I	S IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT

*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NIN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INTERMATION DISCLOSURE STATEMENT H BY APPLICANT	ATTY, DOCKET NO. NATURES,018A	APPLICATION NO 09/852,155
& \ \ B	APPLICANT Peter T. McCarthy	
(BE SEVERAL SHEETS IF NECESSARY)	FILING DATE May 9, 2001	GROUP 3817

	U.S. PATENT DOCUMENTS							
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)		
EQG3	5,527,197	6/18/1996	Evans					
,	5,533,918	7/9/1996	Sanders					
	5,595,518	1/21/1997	Ours					
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	5,748,631	5/5/1998	McCarthy					
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	Des. 278,165	3/26/1985	Evans	JOE	VE			
	Des. 302,999	8/22/1989	McCredia	रमिण	5 200			
	Des. 327,933	7/14/1992	Evans	OCJ		enn		
	Des. 327,934	7/14/1992	Evans	200	NEF 25 200° UP 3	000		
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1	Des. 396,897	8/11/1998	Evans					
1	Des. 404,456	1/19/1999	Evans					
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EXAMINER	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANS	LATION		
INITIAL						YES	NO		
ws	1501208	Nov 1967	France						

EXAMINER		DATE CONSIDERED 5	12	03
	PRIMARY EXAMINER			
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U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTY, DOCKET NO. NATURES.018A APPLICATION NO. 09/852,155

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(USE SEVERAL SHEETS IF NECESSARY)

APPLICANT
Peter T. McCarthy

FILING DATE May 9, 2001

GROUP 3617

FOREIGN PATENT DOCUMENTS										
EXAMINER			DOCUMENT NUMBER	DATE	COUNTRY	Y CLASS S		TRANSI	ATION	
INITIAL								YES	NO	
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ſ			FR 2574 748 A	06/20/86	France					
			JP62 134395	06/17/87	Japan					
			SU 1323 463 A	03/13/86	Soviet Union					
			625377	09/19/61	Italy					
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			625377	09/19/61	ITALY (with Certified Translation)			X -		
			1.245.395	9/26/60	FRANCE (with Certified Translation)			x		
			17,033	1890	England		-11/1	in.		
			787,291	1935	France	JE(FIA	ا 'حم		
			1,245,395	1960	France	00	T 25 2	דטנ		
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			61-6097	1986	Japan	GB	DUP	200		
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Seg	Rossier, Robert N., entitled, * Moving Forward - Getting your best kick in the water can come from a combination of design, efficiency and hydrodynam fins*. ALERT DIVER, Nov-Dec 1997, pages 26 - 28.						
V	Triantafyllou, Michael S. and Triantafyllou George S., entitled, "An Efficient Swimming Machine". SCIENTIFIC AMERICAN, March 1995, pages 84-70.						
4	*3302 of 1880" (Picture From Book-Found in US PTO)						

EXAMINER	ED SWINEHART	DATE CONSIDERED	2/12	03			
*EXAMINER: INITIAL IF CITATION CONSIDERED, MINISTER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.							

		SHEET 8 OF E
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BY APPLICANT AP	PPLICANT Peter T. McCarthy	
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EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)
Con	"Test Dive" Article in Jan/Feb 1998 Issue of Sport Diver Magazine
وقع	"Oceanic V-Drive Fins" in May/Jun. 1996 Issue of Sport Diver Magazine
1	Walton and Katz "Application of Leading-Edge Vortex Manipulations to Reduce Wing Rock Amplitudes" Journal of Aircraft Vol. 30, No. 4, pp. 555-559
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\	Grantz and Marchman III" Trailing Edge Flap Influence on Leading Edge Vortex Flap Aerodynamics*, Journal of Aircraft Vol. 20, No. 2, pp. 165-169
)	Rao "An Exploratory Study of Area-Efficient Vortex Flap Concepts" Journal of Aircraft, Vol. 20, No. 12, pp. 1062-1087
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	"Wave Rebel" bodysurfing fin (Picture), 1 st production 1994
1	Art. 1400 Pinna "Professional" Fin (1989)

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EXAMINER	PRIMARY EXAMINER	DATE CONSIDERED	5	12	03	
*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 809, DRAW LINE THROUGH CITATION IF NOT						

U.S. PATENT DOCUMENTS								
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664	5,810,629	9/22/98	Рап					
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FOREIGN PATENT DOCUMENTS							
EXAMINER	DOCUMENT NUMBER	DATE COUNTRY		CLASS	SUBCLASS	TRANSLATION	
INITIAL						YES	NO
ges	2 543 841	April 5, 1983	France				
501	1284765	Aug.9, 1972	Great Britain				

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)			
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EXAMINER	PRIMARY EXAMINER	DATE CONSIDERED	
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	•	FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND PROPERTY OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT MAR 1 2 2002		ATTY, DOCKET NO. NATURES.018A	COPY OF PAPERS ORIGINALLY FILED	APPLICATION NO. 09/852,155	
				APPLICANT Peter T. McCarthy			
		(USE SEVERAL	SHEETS IF JECESSARY)	FILING DATE May 9, 2001		GROUP 3617	

								
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49	L	6,146,224	11/14/00	McCarthy				
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							YES	NO
GU	Г	2 543,841	April 5, 1983	France (certified translation)			×	
607								
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INITIAL		ОТ	HER DOCUMEN	ITS (INCLUDING AUTHOR, TITLE, DATE, PERTI	NENT PAGES, 6	ETC.)		
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